

SYLLABUS
Fall semester 2023-2024 academic year
"7M04216 - Financial Law"
Scientific and pedagogical direction 2nd year, english department

ID and name of discipline	Independent work of the student (IWS)	Number of credits			General number of credits	Independent work of the student under the guidance of a teacher (IWST)
		Lectures (L)	Practical classes (PC)	Lab. classes (LC)		
93035 Methodology of legal analysis in the financial field	3	3	6	0	9	7

ACADEMIC INFORMATION ABOUT THE DISCIPLINE

Learning format	Cycle, component	Lecture types	Practical classes types	Form and platform of final control
Offline	БД/БК	Introductory, informative, lecture-presentation	Laboratory works, computer simulator, project works	Oral online
Lecturer - (s)	Ibragimov Aziz Tilapzhanovich, Candidate of Law Sciences, Senior Lecturer			
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Phone number:	8 701 429 1001			

ACADEMIC PRESENTATION OF THE DISCIPLINE

The purpose of the course	Expected Studying Outcomes (SO) As a result of studying the discipline the student will be able	SO achievement indicators (AI)
The purpose of the discipline is to form the abilities of scientific analysis of modern scientific methods and theoretical concepts of modern methodology in the field of financial law. The training course forms a theoretical and methodological basis for understanding modern approaches to the classification of legal analysis in the financial sphere in the context of globalization and legal integration. Discipline is aimed at studying the methodology of legal analysis in the financial sphere.	1. Explain theoretical knowledge in the financial field based on an analysis of current legislation and scientific views.	1.1 Correctly interprets and applies the norms of financial legislation. 1.2. Defines the main legal provisions and terms in the financial field. 1.3. Describes the concept of finance and the financial system of the state.
	2. Provide information on the principles and methods of organizing effective financial relations in modern economic conditions.	2.1. Explains the concept and methods of financial activity of the state. 2.2. Defines financial and legal norms. 2.3. Compares financial pav methods. 2.4. Carries out the classification of financial legal relations. 2.5. Identifies features, types of financial legal relations.
	3. To form the skills of analyzing legislation, understanding the main provisions and features of the legal regulation of financial relations.	3.1. Defines financial and legal norms. 3.2. Explains the system of financial law. 3.3. Evaluates methods of financial control. 3.4. Determines the competence of state authorities in the field of financial control. 3.5. Analyzes the principles of financial control. 3.6. Evaluates the role of the financial system of the Republic of Kazakhstan.
	4. Provide an opportunity to develop a legal position in the issues of financial legal relations.	4.1. Explains the principles of budget building system. 4.2. Analyzes the importance of financial instruments for regulating the monetary system. 4.3. Defines the role and functions of the tax and other obligatory payments to the budget.
Prerequisites	Financial Law, Banking Law, Tax Law	
Postrequisites	Customs law, investment law, public financial policy	

Studying Resources	<p>Literature:</p> <p>Main literature.</p> <ol style="list-style-type: none"> 1. Mukhitdinov N. B., Kuanalieva G.A., Zhatkanbaeva A.E. (ed.) and others. "Financial Law of the Republic of Kazakhstan". Tutorial book / al-Farabi KazNU. - Almaty: Kazakh University, 2018. - 269 p. 2. Khimicheva N.I. "Financial Law". Textbook, 3rd edition. Moscow, Yurist, 2004, 749 p. UDC 347.73 (075.8) BBK 67.402 F59. 3. Khudyakov A.I. Financial Law of the Republic of Kazakhstan. A common part. Baspa LLP, Almaty, 2001, 272 p. 4. Khudyakov A.I. Selected works on financial law. Publishing house "Legal Center-Press" - 2010, 630 p. 5. Zhusupov A.D. "Financial system of the Republic of Kazakhstan (legal aspect)". Abstract dis. Dr. jurid. sciences, 12.00.02: defended 12.19.2008 / scientific. cons. M. T. Baimakhanov. - Almaty, 2008, 48 p. <p>Additional literature.</p> <ol style="list-style-type: none"> 6. Kiyosaki, R. Robert. "Rich kid, smart kid." Monograph. Minsk, 2001, 329 p. - ISBN 985-438-690-2 (https://elib.kaznu.kz/book/10924). 7. Chueva A.S., Kurdyuk P.M., Ivanenko I.N. "Financial Law (general part)", textbook for bachelors - Electronic text // (https://kubsau.ru/upload/iblock/20d/20d90b224a4f0f9d13754cf006ee6563.pdf). 8. Regulatory Resolution of the Supreme Court of the Republic of Kazakhstan dated June 29, 2017 No. 4 "On judicial practice in the application of tax legislation". (https://adilet.zan.kz/rus/docs/P170000004S). 9. Regulatory Resolution of the Supreme Court of the Republic of Kazakhstan dated June 18, 2004 No. 4 "On the application by the courts of legislation on the seizure of income received in the course of entrepreneurial and other economic activities without a license". (https://adilet.zan.kz/rus/docs/P04000004S). <p>Internet resources:</p> <ol style="list-style-type: none"> 1. Zhusupov A.D. "Financial system of the Republic of Kazakhstan (legal aspect)". Abstract dis. Dr. jurid. sciences, 12.00.02: defended 12.19.08 / scientific. cons. M. T. Baimakhanov. - Almaty, 2008, 48 p. (https://elib.kaznu.kz/book/10731). 2. Kiyosaki, R. Robert. "Rich kid, smart kid." Monograph. Minsk, 2001, 329 p. - ISBN 985-438-690-2 (https://elib.kaznu.kz/book/10924). 3. Chueva A.S., Kurdyuk P.M., Ivanenko I.N. "Financial Law (general part)", textbook for bachelors - Electronic text // (https://kubsau.ru/upload/iblock/20d/20d90b224a4f0f9d13754cf006ee6563.pdf).
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Academic policy of discipline	<p>The academic policy of the discipline is determined by the <u>Academic Policy and the Policy of Academic Integrity</u> of al-Farabi KazNU.</p> <p>Documents are available on the main page of Univer IS.</p> <p>Integration of science and education. Research work of students is a deepening of the educational process. It is organized directly in the departments, laboratories, scientific and design departments of the university, in student scientific and technical associations. Independent work of students at all levels of education is aimed at developing research skills and competencies based on obtaining new knowledge using modern research and information technologies. A research university teacher integrates the results of scientific activity into the topics of lectures and seminars (practical) classes, laboratory classes and into the tasks of the SROP, SRO, which are reflected in the syllabus and are responsible for the relevance of the topics of training sessions and tasks.</p> <p>Attendance. The deadline for each task is indicated in the calendar (schedule) for the implementation of the content of the discipline. Failure to meet deadlines results in loss of points.</p> <p>Academic honesty. Practical/laboratory classes, SRO develop the student's independence, critical thinking, and creativity. Plagiarism, forgery, the use of cheat sheets, cheating at all stages of completing tasks are unacceptable. Compliance with academic honesty during the period of theoretical training and at exams, in addition to the main policies, is regulated by the "<u>Rules for the final control</u>", "<u>Instructions for the final control of the autumn/spring semester of the current academic year</u>", "<u>Regulations on checking students' text documents for borrowings</u>". Documents are available on the main page of Univer IS.</p> <p>Basic principles of inclusive education. The educational environment of the university is conceived as a safe place where there is always support and equal attitude from the teacher to all students and students to each other, regardless of gender, race / ethnicity, religious beliefs, socio-economic status, physical health of the student, etc. All people need the support and friendship of peers and fellow students. For all students, progress is more about what they can do than what they can't. Diversity enhances all aspects of life. All students, especially those with disabilities, can receive advisory assistance by phone/e-mail iaziz@bk.ru or via video link in MS Teams https://teams.microsoft.com/l/team/19%3akkKWRYY_2MY8wdi6j7U2pyhjLnr1ZhLmta70tjsGvfA1%40thread.tacv2/conversations?groupId=c195ddd5-d58-4e1f-8f35-68e083bd4f14&tenantId=b0ab71a5-75b1-4d65-81f7-f479b4978d7b.</p>
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MOOC integration (massive open online course). If MOOC is integrated into the discipline, all students must register for MOOC. The deadlines for passing MOOC modules must be strictly observed in accordance with the schedule for studying the discipline.

ATTENTION! The deadline for each task is indicated in the calendar (schedule) for the implementation of the content of the discipline, as well as in the MOOC. Failure to meet deadlines results in loss of points.

INFORMATION ABOUT TEACHING, STUDYING AND EVALUATION

Score-rating letter system of assessment of accounting for educational achievements				Assessment methods														
Evaluation	Digital equivalent points	Points, % content	Evaluation according to the traditional system	<p>Criteria-based assessment is the process of correlating actual learning outcomes with expected learning outcomes based on clearly defined criteria. Based on formative and summative evaluation.</p> <p>Formative assessment is a type of assessment that is carried out in the course of daily learning activities. It is the current measure of progress. Provides an operational relationship between the student and the teacher. It allows you to determine the capabilities of the student, identify difficulties, help achieve the best results, timely correct the educational process for the teacher. The performance of tasks, the activity of work in the classroom during lectures, seminars, practical exercises (discussions, quizzes, debates, round tables, laboratory work, etc.) shall be evaluated. Acquired knowledge and competencies shall be assessed.</p> <p>Summative assessment is a type of assessment that shall be carried out upon completion of the study of the section in accordance with the program of the discipline. Conducted 3-4 times per term when performing IWS. This method of assessment aims to mastering the expected studying outcomes in relation to the descriptors. Allows to determine and fix the level of mastering the discipline for a certain period. Studying outcomes shall be evaluated.</p> <table border="1"> <thead> <tr> <th>Formative and summative evaluation</th> <th>Points % content</th> </tr> </thead> <tbody> <tr> <td>Initiative at lectures</td> <td>0</td> </tr> <tr> <td>Work in practical classes</td> <td>30</td> </tr> <tr> <td>Independent work</td> <td>30</td> </tr> <tr> <td>Project and creative activity</td> <td>0</td> </tr> <tr> <td>Final control (exam)</td> <td>40</td> </tr> <tr> <td>TOTAL</td> <td>100</td> </tr> </tbody> </table>	Formative and summative evaluation	Points % content	Initiative at lectures	0	Work in practical classes	30	Independent work	30	Project and creative activity	0	Final control (exam)	40	TOTAL	100
Formative and summative evaluation	Points % content																	
Initiative at lectures	0																	
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Independent work	30																	
Project and creative activity	0																	
Final control (exam)	40																	
TOTAL	100																	
A	4,0	95-100	Excellent															
A-	3,67	90-94																
B+	3,33	85-89	Good															
B	3,0	80-84																
B-	2,67	75-79																
C+	2,33	70-74																
C	2,0	65-69	Satisfactory															
C-	1,67	60-64																
D+	1,33	55-59	Unsatisfactory															
D	1,0	50-54																

Calendar (schedule) of the implementation of the content of the discipline. Methods of teaching and learning.

Week	Topic name	Number of hours	Max. point
Module 1. Introduction to the financial field and financial legal relations			
1	L 1. The concept, role and significance of the state financial activity	3	
	PC 1. Reveal the concepts of finance, methods of financial activity of the state and the financial system of the state	6	7
2	L 2. The role and place of financial law as a branch of law in financial legal relations	3	
	PC 2. Describe the subject, method and system of financial law.	6	7
	IWST 1. Consultations on the implementation of the IWS 1. Topic: "Financial activity of the state" (report in the form of a presentation)		15
3	L 3. Financial and legal norms and financial legal relations	3	
	PC 3. Characteristics of financial and legal norms, financial legal relations: features and types.	6	7
4	L 4. Legal basis of financial control in the Republic of Kazakhstan.	3	
5	PC 4. Financial control: concept, principles, types, methods. Competence of authorities (central and local executive) in the field of financial control. Audit financial control.	6	7
Module 2. Financial device			
5	L 5. Legal basis of the monetary system and currency regulation	3	
	PC 5. The concept of the monetary system. Types of monetary systems. Financial instruments for regulating the monetary system.	6	7
	IWST 2. Analysis of financial and legal norms in the current legislation of the Republic of Kazakhstan.		10

6	L 6. Legal foundations of the financial structure and management in the field of finance	3	
	PC 6. The concept of the financial structure of the state and its elements. Financial system of the Republic of Kazakhstan. Management in the field of finance as an institution of the general part of financial law.	6	7
7	L 7. Legal basis for financial planning	3	
	PC 7. The concept of financial planning. Stages of financial planning	6	8
	IWST 3. Theoretical and practical characteristics of financial and legal norms and financial legal relations		15
Line control 1			100
Module 3. Budgetary regulation in the financial field			
8	L 8. Budget device	3	
	PC 8. Concept of budget. The meaning of the term "budget". Budget as a material, economic, financial, organizational and legal category. Types of budget. The concept of a budget device. Budgetary regulation and its methods.	6	6
	IWST 4. Colloquium (test) "Legal bases of the financial structure".		10
9	L 9. Budget process.	3	
	PC 9. The concept and principles of the budget process. Stages of the budget process. Budget year and budget period. The procedure for drafting the republican budget and local budgets. The procedure for consideration and approval, the procedure for the execution of the republican budget and local budgets.	6	6
10	L 10. Tax as a financial and legal category	3	
	PC 10. The concept of tax. Material, economic and legal features of the tax. The concept of the state fee, state duty and other obligatory payments to the budget.	6	6
	IWST 5. Legal basis of financial control in the Republic of Kazakhstan		15
11	L 11. Legal basis for the organization of banking and insurance	3	
	PC 11. Banking system of the Republic of Kazakhstan. State regulation of banking activity. Legal status and competence of the National Bank of the Republic of Kazakhstan. Legal status of second-tier banks.	6	6
12	L 12. Financial and economic law as part of financial law	3	
	PC 12. The concept of financial and economic law as part of financial law. Subject, method, sources and principles of financial and economic law.	6	6
	IWST 6. Legal basis of the monetary system and currency regulation		10
Module 4. Main directions of financial activity of some government organizations			
13	L 13. Actual problems and conditions of state lending	3	
	PC 13. State credit: concept and essence. Functions of the state credit. State borrowing and their types.	6	6
14	Л14. Legal Regime of the Finance of State Enterprises	3	
	PC 14. Concept and bases of legal regulation of finances of state enterprises. Finances of state enterprises as an object of financial and legal regulation. The procedure for the distribution and use of profits of state enterprises.	6	6
	IWST 7. Legal basis for the organization of banking and insurance		15
15	L 15. Legal Regime of the Finances of Public Institutions	3	
	PC 15. Fundamentals of organizing the activities of state institutions. Financial policy and financial mechanism of state institutions.	6	8
Line control 2			100
Final control (exam)			100
TOTAL for discipline			100

Dean _____ Baydeldinov D.L.

Head of the Department _____ Kuanalieva G.A.

Lecturer _____ Ibragimov A.T.

